



FAMI-QS VERSION 6

TRANSITION

REQUIREMENTS

P-MS-002/ Rev 01
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1. Introduction

This document defines the transition from FAMI-QS Code Version 5.1 to FAMI-QS Code Version 6 and identifies activities which should be considered by all relevant interested parties.

The revision introduces significant changes and it will be published on 02 October 2017.

The most noticeable change in the FAMI-QS Certification System is the new structure of the FAMI-QS Code and the Scope adaptation for global understanding.

2. FAMI-QS Code Version 6

FAMI-QS Code Version 6 now follows the same overall structure as other ISO management system standards (known as the High-Level Structure, SO/IEC Directives, Part 1 Consolidated ISO Supplement — Procedures specific to ISO), making it easier for anyone using multiple management systems (MS). The majority of FAMI-QS Certified Operators maintains more than one MS (ISO 9001, ISO 2200, FSSC 22000, etc.).

Another major difference is the focus on risk-based thinking and process approach. While this has always been a part of the FAMI-QS Code, the new version better clarifies this approach.

FAMI-QS Code Version 6 has two pillars: quality and feed safety. The requirements of the FAMI-QS Code Version 5.1 have been re-organised in order to meet the ISO High Level Structure. In some cases, the requirements have been addressed in a more detailed way. This will on one hand, allow Operators to get more guidance for the implementation of specific requirements and, on the other hand, avoid misinterpretation.

What is essentially required from an Operator, is to be able to understand the operational environment of its activities, the impact of this operational environment on feed safety, and an in-depth knowledge of its operational processes and the outputs of the latter.

Table 1: FAMI-QS Code Version 6 Structure.

Chapter 4 Understanding the context of the Operator	<ul style="list-style-type: none"> • The operational environment • Expectations of the chain (interested parties) • Requires an organisation to determine the internal and external issues and requirements that can impact the planning of the Feed Safety and Quality Management System • Take actions for preventing fraud/ adulteration
Chapter 5 Leadership	<ul style="list-style-type: none"> • Management responsibility • Organisation’s management have to demonstrate that they are actively involved in the management and operation of the management system • The Feed Safety and Quality Management System needs to be fully integrated into the business processes
Chapter 6 Planning	<ul style="list-style-type: none"> • Related to the Feed Safety Management System • Actions to address risks and opportunities • Feed safety and Quality objectives and planning to achieve them
Chapter 7 Good Manufacturing Practices	<ul style="list-style-type: none"> • Aligned with the requirements of ISO/TS 22002 Part 6 - Feed PrP • Aligned with the requirements of FSMA CGMP Animal Food
Chapter 8 Operations	<ul style="list-style-type: none"> • The heart of the management system (the business). This chapter shall be combined with the Process documents relevant to the Operator
Chapter 9 Performance Evaluation	<ul style="list-style-type: none"> • Actions to address risks and opportunities • Feed safety and Quality objectives and planning to achieve them
Chapter 10 Improvement	<ul style="list-style-type: none"> • Requirements for managing nonconformities • Requirements for continuous improvement

Due to significant changes in the code, a track changes document outlining the modifications to the structure and rephrasing of the requirements will not be provided. All the interested parties are kindly asked to read the code and the process document(s) relevant to their operations very carefully.

Relevant interested parties are:

- i) Organisations certified against FAMI-QS
- ii) Accreditation Bodies (ABs)
- iii) Certification Bodies (CBs).

2.1. FAMI-QS Scope

The clear Management System approach of the FAMI-QS Code shall be reflected also in the FAMI-QS scope description. The rephrasing of our scope definition for international implementation will not change the range of ingredients eligible to be FAMI-QS certified. Instead, it will classify those ingredients by the process they result from.

The new title of the scope will be *Specialty Feed Ingredients*. A specialty feed ingredient is defined as any intentionally added ingredient not normally consumed as feed by itself, whether or not it has nutritional value, which affects the characteristics of feed or animals/ animal products and animal performance. The scope includes production and trade of single ingredients coming out of the following production processes or their combination: Chemical, Bioprocessing, Mining, Extraction, Refining, Mixing and Formulating.

The management system approach allows FAMI-QS to use its industry's knowledge more efficiently, identifying risk per process, rather than per product. This will greatly improve the current production process and encourage better knowledge exchange at global level. Moreover, it will contribute to create a fair playing field.

Specific per process hazard assessments were developed, with the view of providing specific guidelines for managing the hazards associated with each process. The so called *Process Documents* will act as an add-on to the FAMI-QS Code. These will be auditable documents established for each process described in Chapter 2 of the FAMI-QS Code.

3. Transition

The transition period will be three (3) years starting from the publication date of the FAMI-QS Code Version 6, which will happen on 02 October 2017. The transition period will be until 01 October 2020.

FAMI-QS Secretariat will not accept any application under Version 5.1 as from 01 October 2019.

3.1. Validity of the FAMI-QS Certificates Version 5.1

FAMI-QS Certificates Version 5.1 will no longer be valid after 01 October 2020.

The expiry date of certifications based on FAMI-QS Version 5.1, issued during the transition period, needs to correspond to the end of the three-year transition period on 01 October 2020.

3.2 Application dates

1. **FAMI-QS applications under version 6 will open as from 1 February 2018.** Current FAMI-QS certified Operators under version 5.1 can apply as from 1 February 2018 to obtain their revised approval letter for the new scope.
2. FAMI-QS Certified Operators under version 5.1 which will be in process for re-certification, between 1 January 2018 and 1 April 2019 will **proceed for re-certification under version 5.1** and will switch to version 6 in their subsequent surveillance audit.
3. **New applicants** wanting to become FAMI-QS certified have to do it **under version 5.1 until 1 April 2019.**
4. Certification Bodies can only proceed with the provision of FAMI-QS Certification **under version 6 after 1 April 2019.**

4. Specific Requirements

4.1. FAMI-QS Certified Operators

Operators certified under FAMI-QS Version 5.1 shall take the following actions:

- a) Read the FAMI-QS Code Version 6 very carefully. Due to significant changes in the code, a track changes document outlining the modifications to the structure and rephrasing of the requirements will not be provided.
- b) Identify and integrate in your system the Process Documents applicable to your operations. Traders will need to consider all the process documents applicable to the products they trade (how those products are produced).
- c) Reflect on actions for preventing fraud/ adulteration, risks and opportunities.
- d) Develop an implementation plan.
- e) Provide appropriate training and raise awareness amongst all parties that have an impact on the effectiveness of the Feed Safety Management System, within your organisation.
- f) Update the existing Feed Safety Management System to meet the revised requirements and provide verification of its effectiveness.
- g) Where applicable, liaise with their Certification Body for transition arrangements.
- h) When the Certification Body confirms that they are ready to offer FAMI-QS Certification under Version 6, you shall proceed with the new application for FAMI-QS, in order to obtain an updated approval letter. The approval for transition to Version 6 will be provided without any additional charges.

4.2. Certification Bodies

Certification Bodies shall take the following actions:

- a) Read and implement the Rules for Certification Bodies Version 8.
- b) Ensure that all the auditors have undergone the initial training under the FAMI-QS Version 6. At least one auditor from each Certification Body shall attend the training provided by FAMI-QS. Then a Certification Body can organise an internal training. The agenda and participants list shall be submitted to FAMI-QS.

- c) Ensure that all the personnel involved in the FAMI-QS Certification process meets the requirements of FAMI-QS Rules for Certification Bodies Version 8.
- d) Submit to your Accreditation Body and FAMI-QS the revised FAMI-QS Certification procedure.
- e) Submit to your Accreditation Body and FAMI-QS the updated list of auditors and your approval scopes.
- f) Communicate with existing FAMI-QS Certified Operators, sharing guidance on the transition process and arrangements for transition.
- g) Communicate to the existing FAMI-QS Certified Operators all the relevant information regarding the validity of their certificates.
- h) Provide a written action plan for the transition to your Accreditation Body and FAMI-QS.
- i) In order for you to be able to proceed with the provision of FAMI-QS certification services under Version 6, it is required the inclusion of the FAMI-QS Version 6 under your accreditation certificate.
- j) Confirm to your clients the date for the launch of the transition of the FAMI-QS Certificates Version 5.1 to Version 6 and the date from when you will start accepting applications under FAMI-QS Version 6.

4.3. Accreditation Bodies

Accreditation Bodies shall continue their scheduled accreditation activities related to Version 5.1, as per their internal schedule.

For the transition to the FAMI-QS Version 6, Accreditation Bodies shall perform a desktop review or an office audit to ensure that the CAB is ready to provide FAMI-QS Certification Services against FAMI-QS Code Version 6.

Certification Bodies will provide to the AB the following information:

- FAMI-QS Certification procedure;
- list of auditors and their approval scopes;
- action plan for the transition.

Witness audit is not required for the update of the Accreditation Certificate (unless the Accreditation Body decides that a witness audit is required).

Please note that CAB cannot undertake any FAMI-QS certification activities against version 6 without the inclusion of the new version under their accreditation scope.