Version 4 (old)

- Requirements for:
  - Certification Bodies, Auditors, Operators
- FAMI-QS membership
- Audit rules
- Certificate
- Logo
- Mutual recognition
Concerns

- Too large a document
  - > 30 pages
- Too many topics not relevant to operators
  - Requirements for auditors etc
- Some topics in need of clarification
  - Source assurance, audit time, nonconformities etc
- Operators are not reading the document
  - Not obvious what applies to them
New Certification Rules

- Issued in 2 separate documents
  - Rules for Operators
  - Rules for CBs
- For CBs both documents apply!
- Clarify exactly what operators resp. CBs need to do
- Clarify any topics not fully explained
- Include topics raised by CBs to first draft
Major changes: Rules for Operators

Section
2.1: Subcontractor approval
2.1: Auditing time
2.2: Multisite rules
2.5: Consequences & closure of Non-Conformities
3: Source assurance
4: Crisis management
5.1/5.2/5.3: Text of certificates, withdrawal and expiring certificates
Subcontractor approval

- Subcontractors are subject to the same approval criteria as any other supplier
- FAMI-QS certification of subcontractors is preferable to ensure production of safe products
- Alternatives can be used but an onsite audit, at least by the operator, must take place to verify compliance with FAMI-QS requirements
# Audit time

<table>
<thead>
<tr>
<th>Basic audit time</th>
<th>Additional audit time</th>
<th>Deductible audit time</th>
</tr>
</thead>
<tbody>
<tr>
<td>Auditing the documentary quality system (main office): min 4 hours</td>
<td>Auditing 1 manufacturing process (^{(1)}): min 6 hours</td>
<td>Any reduction of time for companies certified against other standards shall be negotiated between the operator and the certification body. The reduction will never be &gt; 50% of the initial calculation time.</td>
</tr>
<tr>
<td></td>
<td>Auditing 2 manufacturing processes (^{(1)}): min 9 hours</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Auditing 3 manufacturing processes (^{(1)}): min 12 hours</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Auditing 4 manufacturing processes (^{(1)}): min 15 hours</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Auditing 5 manufacturing processes (^{(1)}): min 18 hours</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Auditing a storage/distribution activity (without any manufacturing process involved): min 2 hours</td>
<td></td>
</tr>
</tbody>
</table>

Audit time for **surveillance** visits is set on \(\frac{1}{3}\) of initial audit time. A minimum time of 4 hours shall be applied.

Audit time for **re-certification** visits is set on \(\frac{2}{3}\) of initial audit time. A minimum time of 4 hours shall be applied.
Multisite rules

- According to IAF MD 1:2007
  *IAF Mandatory Document for the Certification of Multiple Sites Based on Sampling*

- Communication of audit program to FAMI-QS
Consequences & closure of NCs

- New rules on evaluation of NCs established

- **Consequences** of NCs on certification now dependend on **type of audit** and **type of NC**

  → certification granted/denied/suspended
  → initial, re-certification, surveillance
  → critical, major, minor
## Decision matrix (I)

<table>
<thead>
<tr>
<th>Non-conformity</th>
<th>Initial audit</th>
<th>Surveillance or Re-certification audit</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Critical</strong></td>
<td>Certification cannot be granted until the non-conformities have been closed out.</td>
<td>Certification will be temporarily suspended(^{(1)}) and cannot be re-instated until the non-conformities have been closed out. In case the non-conformities are not resolved within the maximum suspension period of 72 calendar days, certification will be withdrawn.</td>
</tr>
</tbody>
</table>
## Decision matrix (II)

<table>
<thead>
<tr>
<th>Non-conformity</th>
<th>Initial audit</th>
<th>Surveillance or Re-certification audit</th>
</tr>
</thead>
</table>
| **Major**      | Certification cannot be granted until the non-conformities have been closed out. | Certification continues.  
The action plan shall be presented to the certification body the latest 14 calendar days after the audit date.  
Evidence that non-conformities have been closed out will be checked 28 days after the presentation of the action plan the latest. In case non-conformity is not resolved and closed-out by then, it becomes a critical non-conformity. |
## Decision matrix (III)

<table>
<thead>
<tr>
<th>Non-conformity</th>
<th>Initial audit</th>
<th>Surveillance or Re-certification audit</th>
</tr>
</thead>
</table>
| **Minor**      | Certification can be granted after receipt of the action plan.  
An agreement on the action plan shall be taken between the certification body and the operator; deadline for this agreement is 28 calendar days after the certification body has received the action plan from the operator.  
Evidence that non-conformities have been closed out will be checked by the auditor during the next audit the latest. In case non-conformity is not resolved and closed-out by then, it becomes a major non-conformity. | Certification continues.  
An agreement on the action plan shall be taken between the certification body and the operator; deadline for this agreement is 28 calendar days after the certification body has received the action plan from the operator.  
Evidence that non-conformities have been closed out will be checked by the auditor during the next audit the latest. In case non-conformity is not resolved and closed-out by then, it becomes a major non-conformity. |
Source assurance

- Incoming material must be from certified and from a recognised source, but alternatives can be used
- Decision tree helps operators to appropriate measures depending on nature of incoming material
- Measures are set out in chapter 7.4.1 of the Code
- Audit at the supplier’s location performed by operator or CB as final measure for not assured materials
Crisis management

- Legislative compliance in the Code
- Further details in the Guidance
- Rules for operators define required actions and responsibilities
- Operator-side:
  - notification of the CB when crisis occurs
  - written feedback to CB as follow-up
- CB-side:
  - follow-up during next audit
Certificates

Withdrawal
- Remains the responsibility of the CB
- As a consequence the name of the company will be removed from the FAMI-QS website

Expired certificates
- Must be renewed and submitted to FAMI-QS within 1 month after the expiring date
- If not, the name of the company will be removed from the FAMI-QS website
New FAMI-QS logo

- Version 5 of FAMI-QS documents will be implemented with new styled logo

- May be used in its original colours and proportions on certificates, advertisements and brochures
Major changes: Rules for CBs

- Requirements for accreditation
- References (ISO/IEC etc) updated
- Requirements for auditors better defined
  - proven experience in feed sector
  - min. 20 audits or 3 years experience
- New functional group added to category « technological additives »
- Checklist is updated
For all

Annexes on the website

- List of fees
- Application forms